



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/693,276	10/20/2000	Kenneth R. Owens	4910.00002

22908
BANNER & WITCOFF LTD
TEN SOUTH WACKER DRIVE
SUITE 3000
CHICAGO, IL 606067407

FORMALITIES LETTER



OC00000005619417

Date Mailed: 12/14/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/26/2001 MGBREM1 00000021 220259 09693276

02 FC:105 130.00 CH



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/693,276
		Filing Date	October 20, 2000
		First Named Inventor	Kenneth R. Owens et al.
		Group Art Unit	2122
		Examiner Name	na
Total Number of Pages in This Submission	23	Attorney Docket Number	4910.00002

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Copy of Notice to File Missing Parts -Certificate of Mailing -Declaration and Power of Attorney -Return Postcard
<input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

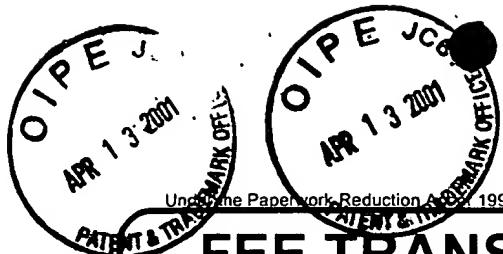
Firm or Individual name	Christopher P. Moreno
Signature	
Date	4/13/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as "Express Mail post office to addressee" addressed to Commissioner for Patents, Washington, DC 20231 on this date

Typed or printed name	Christine A. Jaszkowski
Signature	
	Date <input type="text" value="4-13-01"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 560)

Complete if Known

Application Number	09/693,276
Filing Date	October 20, 2000
First Named Inventor	Kenneth R. Owens et al.
Examiner Name	na
Group Art Unit	2122
Attorney Docket No.	4910.00002

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

22-0259

Deposit
Account
Name

Vedder Price Kaufman & Kammholz

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money
Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

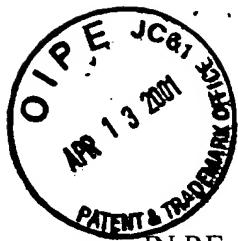
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Fee Fee Fee Description	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application
Other fee (specify) _____				
Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 560)

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Christopher P. Moreno	Registration No. (Attorney/Agent)	38,566	Telephone 312-609-7797
Signature		Date	April 13, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Docket No. 4910.00002)

IN RE APPLICATION OF)
Kenneth R. Owens et al.)
Serial No. 09/693,276) Examiner: na
Filed: October 20, 2000) Group Art Unit: 2122
For: Reverse Notification Tree for Data Networks)

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION
FILING DATE GRANTED**

BOX MISSING PARTS
Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed December 14, 2000, applicant hereby submits the following with respect to the above referenced patent application: Declaration and Power of Attorney; fee transmittal form for all filing fees and surcharges; Request for Two Month Extension of Time to File a Response to Notice of Missing Parts; and a copy of the Notice To File Missing Parts. Also enclosed for filing is a Revocation and Power of Attorney and an Assignment with Recordation Form Cover Sheet.

Respectfully submitted,

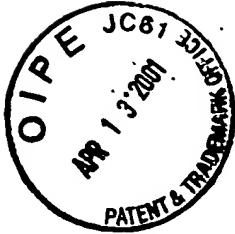
VEDDER, PRICE, KAUFMAN & KAMMHLZ

Date: 4/13/01

By: Christopher P. Moreno
Christopher P. Moreno
Reg. No. 38,566

04/16/01

Nsector \$



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kenneth R. Owens et al.
Serial No.: 09/693,276
Filing Date: October 20, 2000
Title: Reverse Notification Tree for Data Networks
Docket No. 4910.00002

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number: EL707795976US

Date of Deposit: April 13, 2001

I hereby certify that the following attached paper

Response to Notice to File Missing Parts; copy of Notice to File Missing Parts; Request for Two Month Extension of Time to File a Response to Notice to File Missing Parts; Revocation and Power of Attorney; Declaration and Power of Attorney; Assignment and Recordation Form Cover Sheet; transmittal form; fee transmittal and duplicate; return postcard;

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231.

Christine A. Jaszkowski

(Typed or printed name of person mailing paper or fee)

A handwritten signature in black ink, appearing to read "Christine A. Jaszkowski".

(Signature of person mailing paper or fee)